## DT03 Rec'd PCT/PTO 2.5 JAN 2005

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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#### ATTTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 3073 US0P DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP03/09386 7/24/2003 July 26, 2002

INTERNATIONAL APPLICATION NO. TITLE OF INVENTION FUROISOQUINOLINE DERIVATIVE AND USE THEREOF APPLICANT(S) FOR DO/EO/US Yoshihisa Inoue; Noburhiro Fujii; Michiyo Gyoten; Tatsumi Matsumoto Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371, This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. 🖳 An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. 🗹 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. Cited References An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. 18. L A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Return Postcard; Certificate of Express Mailing; PCT Request; Cover Page International Appln.; Forms PCI/IB/301, 304, 306, 3008, 332; 20. Other items or information: ISR

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## DTO1 Rec'd PCT/PTC 2 5 JAN 2005

PTO-1390 (Rev. 12-2004)
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U.S. APPLICAT	TION NO. (if known see	7 <b>CER</b> 1.5)	INTERNATIONAL AP	PLICATION NO.	ATTORNEY'S DOC	KET NUMBER	
U.S. APPLICATION NO. (if known see 87 PFR 1.5)			PCT/JP03/09386	PCT/JP03/09386		3073 US0P	
21. The followi	ing fees are submitted:		<del></del>				
a) Basic national fee\$300.00					\$		
b) Examination fee\$200.00					\$		
					\$	<del></del>	
c) Search fee\$500.00							
TOTAL OF ABOVE CALCULATIONS = \$1000.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence					\$ 1,000.00		
			d in paper over 100 sheets (e: ctronic medium). The fee is \$				
	0 sheets of paper or frac				1		
Total Sheets Extra sheets		Number of each additional 50 or fraction RATE					
		thereof (round up to a whole number)					
292 - 100 =	192 /50 =	4		x \$250.00	\$ 1,000.00		
	L.				¥ 1,000.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$		
CLAIMS NUMBER FIL		_ED NUMBER EXTRA RATE		\$	<u>.L</u>		
Total claims		- 20 =	5	x \$50.00		1	
-					200.00	<del>                                     </del>	
Independent claims 3				x \$200.00	\$ 0	<del>                                     </del>	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00					\$	<u> </u>	
TOTAL OF ABOVE CALCULATIONS =					\$ 2,250.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.							
SUBTOTAL =					\$ 2,250.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest							
claimed priority date (37 CFR 1.492(f)). +					\$	<del></del>	
TOTAL NATIONAL FEE =					\$ 2,250.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied					s		
by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					*		
TOTAL FEES ENCLOSED =					\$ 2,250.00		
	•		W W W		Amount to be refunded:	\$	
					Amount to be	\$	
					charged:	1	
a. A check in the amount of \$ to cover the above fees is enclosed.							
b. Please charge my Deposit Account No. 500799 in the amount of \$ 2,250.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 500799. A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card Information should not							
	luded on this form. Prov	ide credit ca	ard information and authorizat	ion on PTO-2038.	one. Crean card informat	ion should not	
	n appropriate time limit restore the Internationa		CFR 1.495 has not been met on to pending status.	, a petition to review	(37 CFR 1.137(a) or (b)	must be filed	
SEND ALL COR	RESPONDENCE TO:			1/1		~	
Mark Chao, Ph.D., JD							
Takeda Pharmaceuticals North America, Inc				SIGNATURE	21		
475 Half Day Road					Chao, Ph.D., JD		
Lincolnshire, IL 60069 USA					. 000		
(847)383-3372 fax (847)383-3409					7,293	·	
					ON NUMBER er No. 23,115		

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE DT01 Rec'd PC1/F 2 5 JAN 2005

Application No.:

Based on PCT/JP03/009386

Filed:

Intl. Filing 24 JULY 2003

1<sup>st</sup> Inventor:

Yoshihisa Inoue

For:

Furoisoquinoline Derivative and Use Thereof

Atty. Dkt. No.

3073 US0P

Art Unit:

tba

Examiner:

**0**1.

Allowed:

Batch:

Paper No.:

### **CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR 1.10**

### USPS EXPRESS MAIL LABEL. No. EV 524907235 US

**DATE IN:** 

January 25, 2005

### Itemized Papers/Items:

- 1. This Certificate of Express Mailing and Return Postcard and (2 pages)
- 2. Transmittal Letter for Filing under 35 USC 371 (2 pages X 2)
- 3. Specification total pages (292 including Claims 1-22 (pages 271-291), and Abstract (1 page)
- 4. PCT Request (5 pages)
- 5. Copies of Forms PCT/IB/301, 304, 306, 308, 332 (6 pages)
- 6. Copy of Cover Page International Application (WO 2004/011470) (1 page)
- 7. Copy of International Search Report (2 pages)
- 8. Preliminary Amendment (31 pages)
- 9. Information Disclosure Statement (1 page), Form PTO/SB/08A & 08B (2 pages), cited references (6)

The undersigned hereby certifies that the above itemized papers are together being deposited with the Express Mail Post Office to Addressee service of the United States Postal Service (USPS) in an envelope with sufficient postage, having the USPS Express Mail Label No. shown above, and addressed to:

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Commissioner for Patents Alexandria, VA 22313-1450

on this date, 1/25/05

Dated: 1/25/05

Gail L. Winokur

Takeda Pharmaceuticals North America, Inc. Intellectual Property Department 475 Half Day Road Lincolnshire, IL 60069 USA